

10/554205

JC09 Rec'd PCT/PTO 24 OCT 2009

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Tetsuya SUZUKI : **Mail Stop: PCT**

Serial No. NEW : Attorney Docket No. 2005\_1548A

Filed October 24, 2005

COMPOSITION FOR ORAL ADMINISTRATION  
CONTAINING ALKYLENE DIOXYBENZENE DERIVATIVE  
[Corresponding to PCT/JP2004/005864  
Filed April 23, 2004]

---

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicant request consideration of the references listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for:

- each U.S. Patent and U.S. Patent application publication;
- each reference previously cited in the international application PCT/JP2004/005864; and/or
- each reference previously cited in prior parent application Serial No.

---

1a.  This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, or

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

**and thus no certification and/or fee is required.**

1b.  This Information Disclosure Statement is submitted after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

- (1)  the certification of paragraph 2 below is provided, **or**
- (2)  the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c.  This Information Disclosure Statement is submitted: after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:  
**the certification of paragraph 2 below is provided, and**  
**the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.**

2. It is hereby certified

- a.  that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or
- b.  that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3.  Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.
4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
  - a.  a full or partial English language translation submitted herewith,
  - b.  a foreign patent office search report (in the English language) submitted herewith,
  - c.  the concise explanation contained in the specification of the present application at page,
  - d.  the concise explanation set forth in the attached English language abstract,
  - e.  the concise explanation set forth below or on a separate sheet attached to the reference:
5.  A foreign patent office search report citing one or more of the references is enclosed.

Respectfully submitted,

Tetsuya SUZUKI

By Warren M. Cheek, Jr.  
Warren M. Cheek, Jr.  
Registration No. 33,367  
Attorney for Applicant

WMC/dlk  
Washington, D.C. 20006-1021  
Telephone (202) 721-8200  
Facsimile (202) 721-8250  
October 24, 2005

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)

Date Submitted to PTO: October 24, 2005

ATTY DOCKET NO.  
2005\_1548ASERIAL NO.  
NEW

10/554205

APPLICANT  
Tetsuya SUZUKIFILING DATE  
October 24, 2005

GROUP

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,168,099	12/1992	Iwata et al.			
	AB	6,468,560	10/2002	Sauer et al.			
	AC	6,596,759	7/2003	Abe et al.			Corresponds to AJ
	AD	6,160,005	12/2000	Shibata et al.			Corresponds to AK
	AE	5,576,025	11/1996	Akiyama et al.			Corresponds to AM
	AF	5,399,357	3/1995	Akiyama et al.			Corresponds to AO
	AG						
	AH						
	AI						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AJ	99/08675	2/1999	WO			Abstract
	AK	11-35461	2/1992	JP			Abstract
	AL	4-288072	10/1992	JP			Abstract
	AM	5-132416	5/1993	JP			Abstract
	AN	7-76517	3/1995	JP			Abstract
	AO	2-223533	9/1990	JP			Abstract
	AP	2001-500150	1/2001	JP			Corresponds to AB

## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

AQ

EXAMINER

DATE CONSIDERED